

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries, and Other Affairs Section
www.sec.gov.bd

BSEC/Enf/3728/2024/586

Date: August 18, 2026

Md. Sahidullah Securities Ltd. (TREC no. DSE 091)

Room # 713 (6th Floor)

Stock Exchange Building

9/F, Motijheel C/A, Dhaka-1000

Md. Jamal Uddin Khan

CEO & Compliance Officer

Md. Sahidullah Securities Ltd.

Room # 713 (6th Floor), Stock Exchange Building

9/F, Motijheel C/A, Dhaka-1000

Mr. Sawgat Ul Alam

Accounts In-Charge

Md. Sahidullah Securities Ltd.

Room # 713 (6th Floor), Stock Exchange Building

9/F, Motijheel C/A, Dhaka-1000

Subject: Non-Compliance related to not maintaining sufficient fund of customers' in the Consolidated Customers' Account of the Company: Warning to ensure compliance of all securities related laws in future

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3728/2024/832 dated 28 October, 2024 based on which the hearing was conducted on 04 November, 2024.

Subsequently, you have submitted your replies vide letter dated November 04, 2024 where you mentioned your compliance status.

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The Commission, considering your degree of non-compliance, has decided to **warn** you to be careful in future and also suggested to be cautious about maintaining due diligence related to securities related laws. Any divergence of securities related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the above-mentioned show-cause letter. Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any **person**, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,



Muhammad Sadequr Rahman Bhuiyan

Assistant Director (Enforcement)

E-mail: msrahman@sec.gov.bd

Copy for information:

1. Chairman Office, BSEC
2. PO to Commissioners, BSEC
3. PO to Executive Director (MIAD)
- ✓ 4. PO to Executive Director (ICTD), BSEC
5. Managing Director, Dhaka Stock Exchange PLC